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PATENT
Attorney Docket No.: HUV-050.01
(19787-5001)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hafner, J.H. et al.

Serial No: 09/955,738

Filed: September 18, 2001

Title: Fabrication of Nanotube Microscopy Tips

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Group Art Unit: 1746

Examiner: To Be Assigned

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on: January 8, 2002.

Kristen Willett
Kristen Willett

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97 (b)(3), submitted herewith on a Form PTO-1449 is a list of publications known to Applicants and their Agent. A copy of each listed publication is also being submitted herewith. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form 1449.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute

"prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents should one or more of the documents be applied against the claims of the present application.

Under 37 C.F.R. § 1.97 (b)(3), this Information Disclosure Statement is being submitted before the mailing date of the first Office Action on the merits; therefore, no fees are believed to be due. However, the Commissioner is hereby authorized to charge any required fee to our Deposit Account, No. 06-1448.

Respectfully submitted,

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